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PATENT  
Attorney Docket No.: A-70229/RMS/DHR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LUO et al.

Serial No.: 10/029,115

Filed: 19 October 2001

For: Novel Germinal Center Kinase Cell  
Cycle Proteins, Compositions and  
Methods of Use

Examiner: Unknown

Group Art Unit: Unknown

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, **BOX SEQUENCE**, P.O. Box 2327, Arlington, VA 22202 on June 13, 2002

Signed: Gail Diehl  
Gail Diehl

TRANSMITTAL OF PRELIMINARY AMENDMENT AND SEQUENCE LISTING  
IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
BOX SEQUENCE  
P.O. Box 2327  
Arlington, VA 22202

Sir:

In response to the Notice to File Missing Parts of Application, *Filing Date*

*Granted*, mailed March 15, 2002, enclosed are the following documents:

- 1) A copy of the Notice to File Missing Parts of Application, *Filing Date Granted*;
- 2) Preliminary Amendment re Sequence Listing;
- 3) Paper copy of Sequence Listing;
- 4) Diskette copy Sequence Listing;
- 5) Copy of Response to Notice to File Missing Parts - *Filing Date Granted*;
- 6) Copy of Petition for Extension of Time (1 Month); and

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Filed: 19 October 2001  
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7) Return postcard.

The Assistant Commissioner is hereby authorized to charge any additional fees, including extensions, or credit any overpayment to Deposit Account 06-1300 (File A-70229/RMS/DHR).

Respectfully submitted,

DORSEY & WHITNEY LLP

Date:

6/17/02

By

Robin M. Silva  
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submitted under 37 C.F.R. §1.34(a)

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,115	10/19/2001	Ying Luo	A-70229/RMS-DHR

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ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187



CONFIRMATION NO. 2856

## FORMALITIES LETTER



\*OC000000007644958\*

Date Mailed: 03/15/2002

5/15/02

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1036.
  - \$756 for 9 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1906.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

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01 FC:201  
02 FC:205  
03 FC:202  
04 FC:204

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378.00 OP  
140.00 OP

desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance t these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE